

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 09/940,594 Confirmation No. 5019
Applicant : T. TOMITA et al
Filed : August 29, 2001
Title : A DIGITAL SIGNATURE VERIFICATION
APPARATUS
TC/AU : 2137
Examiner : Z.A. Davis
Docket No. : ASA-1029
Customer No.: 24956

Commissioner for Patents
Mail Stop DD
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT (IDS)
UNDER § 1.97 AND § 1.98

Sir:

1. This IDS should be considered:

(a) when filed within three months of the filing date of the present application, or within three months of the filing date of the National Stage as set forth in § 1.491 in an international application, or before the mailing date of a first Office Action on the merits, whichever occurs last;

(b) when filed before the mailing date of either a Final Rejection under § 1.113 or a Notice of Allowance under § 1.311, whichever occurs first and when 1(a) does not apply. For this purpose, there is included herein either a certification in section 4 below (included when indicated by a marked box), or a fee of \$180.00 (a Credit Card Payment Form in the amount of \$180.00 is enclosed, or if not see section 5 below);

(c) when filed prior to the payment of the Issue Fee, when 1(a)-(b) do not apply, and when a certification is included in section 4 below (included when indicated by a marked box); then the Applicant(s) hereby petition(s) and request(s) consideration of this IDS, and provided herewith is a fee of \$180.00 (a Credit Card

Payment Form in the amount of \$180.00 to cover the petition fee, or if not see section 5 below).

2. When 1(a)-(c) do not apply, then it is requested that this IDS be placed in the file.

3. Listing of the information submitted is on the attached Form PTO-1449, which forms a part of this IDS. A copy of each listed document is enclosed when needed.

4. The undersigned hereby states:

☒ (a) that each item of information contained in this IDS was first cited in any communication from a foreign patent office in a counterpart foreign application, as indicated on the copy of the communication submitted herewith, which communication was dated not more than three months prior to the filing of this IDS; or

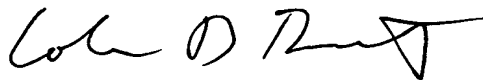
☐ (b) that no item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned after making reasonable inquiry, was known to any individual designated in § 1.56(c) more than three months prior to the filing of this IDS.

5. If a fee or additional fee is required, the Commissioner is hereby authorized to charge any fee or additional fee that may be required and credit any excess to Deposit Account No. 50-1417.

6. If the PTO determines that part(s) of the required content is inadvertently omitted, then it is requested that the Applicant(s) be given additional time and specific identification of such omission(s) to enable full compliance.

Respectfully submitted,

MATTINGLY, STANGER, MALUR & BRUNDIDGE, P.C.



By Reg No 35061

For: Shrinath Malur
Reg. No. 34,663
(703) 684-1120

FORM PTO-1449
(REV. 7-80)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
ASA-1029SERIAL NO.
09/940,594**LIST OF DOCUMENTS CITED BY APPLICANT**
(Use several sheets if necessary)APPLICANT
T. TOMITA et alFILING DATE
August 29, 2001GROUP
2137**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	DOCUMENT	DATE	NAME	CLASS	SUBCLASS	FILING DATE (If Appropriate)
AA	6,012,098	01/04/00	Bayeh et al			
AB						
AC						
AD						
AE						
AF						
AG						
AH						
AI						
AJ						
AK						

FOREIGN PATENT DOCUMENTS

	DOCUMENT	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
AL						<input type="checkbox"/>	<input type="checkbox"/>
AM						<input type="checkbox"/>	<input type="checkbox"/>
AN						<input type="checkbox"/>	<input type="checkbox"/>
AO						<input type="checkbox"/>	<input type="checkbox"/>
AP						<input type="checkbox"/>	<input type="checkbox"/>

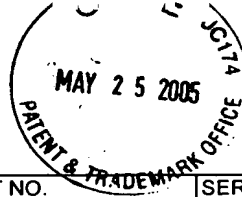
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

AR	Eastlake, D. et al, "XML-Signature Syntax and Processing", Network Working
	Group-Request for Comments: 3075-Category: Standard Track, Online, March 2001, pp. 1-53.
AS	Andrivet, Sebastien, "A Simple XML Parser", C/C++ Users Journal, R&D
	Publications, Vol. 17, No. 7, July 1999, pp. 22, 24, 26-28, 30, 32.
AT	

EXAMINER

DATE CONSIDERED

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



FORM PTO-1449 (REV. 7-80) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE ATTY. DOCKET NO. ASA-1029 SERIAL NO. 09/940,594

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AH						
AI						
AJ						
AK						

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	DOCUMENT	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
AL	2000-293102	10/20/00	JP (with English Abstract)			<input type="checkbox"/>	<input type="checkbox"/>
AM						<input type="checkbox"/>	<input type="checkbox"/>
AN						<input type="checkbox"/>	<input type="checkbox"/>
AO						<input type="checkbox"/>	<input type="checkbox"/>
AP						<input type="checkbox"/>	<input type="checkbox"/>

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

AR	Ford, Warwick et al, "Secure Electronic Commerce: Building the Infrastructure for Digital Signatures and Encryption", Prentice Hall, (1997), Chap. 4.3, Paragraphs: "Digital Signatures", "RSA Digital Signatures", "The U.S. Digital Signature Standard", "2Hash Functions", "Elliptic Curve Digital Signature Systems".
AS	Garfinkel, Simson et al, "Web Security & Commerce" (Nutsell Handbook), Oreille & Associates, Inc., (1997), Chap. 3, Paragraphs: "Digital Certificates", & "9. Code Signing and Microsoft's Authenticode".

EXAMINER

DATE CONSIDERED

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